



THE TAMIL NADU Dr. AMBEDKAR LAW UNIVERSITY



(State University Established by Act No.43 of 1997)

Ph.D. PROSPECTUS 2021-2022

No.5, "Poompozhi" Dr.D.G.S.Dinakaran Salai, Raja Annamalai Puram, Chennai, Tamil Nadu-600028

Vice Chancellor's Message



Once Rabindranath Tagore said that “The higher education is that which does not merely give us information but makes our life in harmony with our existence.” Prof Albert Szent Gyorgyi said “Research is to see what everybody else has seen and to think what nobody else has thought”. Accordingly it is a pride and immense pleasure that destiny has given me an opportunity to lead Tamil Nadu Dr. Ambedkar Law University which effectively promote research. Higher education scenario globally has witnessed a significant change in approach and a remarkable growth. Higher education in India too, has readily adapted to the global demands and every institution has geared up to meet the global challenges by harnessing the digital technologies in education front.

With this in mind, we must not only encourage a high-level of classroom learning, but also actively involve the students in cutting-edge research activities promoted by our university which encourage students to expose themselves to new challenges and produce individuals capable of facing and resolving the tough challenges in the real world.

With an intention to render world class research, this University has decided to wide open the window for 130 students to undertake research to an extent of 130 students with full time and part time facilities so as to enable them to pursue their research in a very comfortable way.

I wish all the research scholars who intent to do research in our prestigious university shall undertake it as a challenge as their work to match the international standard which should attracts the world community as a whole.

All the very best to one and all!

Prof (Dr) N.S. Santhosh Kumar
Vice Chancellor

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ABOUT THE UNIVERSITY

The Tamil Nadu Dr. Ambedkar Law University was established by the Government of Tamil Nadu by an Act of State Legislature [ActNo.43 of 1997] for the advancement and dissemination of knowledge in learning, teaching and research in the field of law. It strives hard to develop in the students and research scholars, a sense of responsibility to serve the society in the field of law by developing skills in advocacy, legal services, legislation and law reforms through better educational instruction and research facilities.

The University is recognized by the University Grants Commission (UGC) under Sec. 2 (f) & 12 (B) of the UGC Act, 1956). The University is a member of the Association of Indian Universities (AIU) and Indian Institute of Public Administration, New Delhi. It is functioning as a nodal agency to improve legal education in the State of Tamil Nadu. Within a short tenure, the School of Excellence in Law (SOEL) has emerged as one of the premier Law Schools in the country. The Government of Tamil Nadu offers legal educations through fourteen of its Law Colleges located at Pudupakkam, PattaraiPerumbudur, Madurai, Thiruchirappalli, Coimbatore, Tirunelveli, Chengalpattu, Vellore, Viluppuram, Dharmapuri, Ramanathapuram, Salem, Namakkal and Theni. The Central Law College at Salem and Saraswathi Law College at Tindivanam, the two Private Law Colleges are affiliated to the Tamil Nadu Dr. Ambedkar Law University.

The Tamil Nadu Dr. Ambedkar Law University remains to be the first and unique affiliating type of Law University established in South Asia. There are more than Twelve Thousand Students pursuing their law degrees through its Affiliated Law Colleges, in addition to School of Excellence in Law. The Tamil Nadu Dr. Ambedkar Law University as a nodal agency has been admitting the students for all the Government Law Colleges and the Private Law College, Saraswathi Law College, at Tindivanam. It has got the appreciation from the High Court of Chennai for its transparent admission process in the previous years. Many students from School of Excellence in Law have been appointed as judicial officers. Of around 1000 institutions offering legal education in India, The Tamil Nadu Dr. Ambedkar Law University has been ranked prominent.

LOCATION AND INFRASTRUCTURE

Chennai, formerly known as Madras is the Capital City of the State of Tamil Nadu. Chennai is the fourth largest Metropolitan city in India. It is a large commercial and industrial centre, and is known for its cultural heritage and temple architecture.

The Tamil Nadu Dr. Ambedkar Law University has two campuses. One is situated at No.5, Poompozhi, Dr. D.G.S. Dhinakaran Salai, Raja Annamalaipuram, Chennai- 600 028 between the Tamil Nadu Dr. J. Jayalalithaa Music and Fine Arts University and Iyyappan Temple [MRC Nagar] on the banks of Adyar River and has been functioning from its establishment in the year 1997. The new campus is at M.G.R Salai, near MRTS Tharamani Railway Station, Perungudi, Chennai- 600 113, established in around 15 acres of land with the financial assistance of Rs.59.27 Crores funded by the Government of Tamil Nadu. The new campus was inaugurated by the then Hon'ble Chief Minister of Tamil Nadu on 14.02.2016. While the administrative affairs of the University has been retained in the Poompozhi campus, the academic activities offered at the School of Excellence in Law has its functioning at the Tharamani campus since 22.02.2016. Both campuses of the University are well connected by Road, Rail and Air. The High Court Complex is within 15 kilometers from the University campus. This facilitates easy and effective interaction between the Bench, Bar and the University. The Tamil Nadu State Judicial Academy and Anna Institute of Management are located just 0.5 Km from the Poompozhi campus. The jurisdiction of the University covers the entire State of Tamil Nadu. All the Law Colleges are affiliated to this University. As a full-fledged first affiliating Law University in the Country, the University has plans to expand its academic tenets to cover other parts of the Country in general and the State of Tamil Nadu. The Government of Tamil Nadu has allotted another piece of land at Tambaram village for its future expansion activities.

MEMORANDUM OF UNDERSTANDING [MoU]

The University has entered into a good number of Memorandum of Understanding with a number of Universities like National University of Singapore; University of Youngsan University, South Korea; University of Nantes, France; University of Reading, U.K.; Indira Gandhi National Open University, New Delhi; Indian Maritime University, Chennai; Tamil Nadu State Judicial Academy, Chennai; Anna Institute of Management, Chennai; Army Institute of Management, Mohali; Institute of Chartered Accountants of India, New Delhi; Institute of Human Rights Education, Madurai; Gulati Institute for Finance and Taxation, Tiruvananthapuram, Kerala; Institute of Chartered Accountants of India, New Delhi; Trust for Alternate Dispute Resolution, Council for National and International Commercial Arbitration, Chennai, Tamil Nadu; The University of Norway (MoU to be signed); University of Chapman, USA (MoU to be signed) and Indian Society of International Law, New Delhi (MoU to be signed). The University has entered into a Memorandum of Understanding with one of the recognized institutions viz., Tata Institute of Social Sciences (TISS), Mumbai April 2018 at Mumbai to promote the institutional collaborations. Dr. T.S.N. Sastry, Vice-Chancellor of the Tamil Nadu Dr. Ambedkar Law University, Chennai after his assuming office as Vice-Chancellor has signed the first MoU and for the TISS its Acting Director has signed it and also one of the recognized institutions viz., Maharashtra National Law University (MNLU), Aurangabad on Sunday the 22nd April 2018 at Maharashtra to promote the institutional collaborations. To meet professional challenges, on 2nd February 2019 University has entered into Memorandum of Understanding with AIR Law Academy and Research Centre and launched the 'AIR Online Education Support Suite', 'AIR Law Cafe'.

Institutional Membership with Other Universities

- Association of Indian Universities, New Delhi
- Indian Institute of Public Administration, New Delhi

SPECIFIC ACHIEVEMENTS

Publications

'Publish or Perish' is today's watch word of Academia. The Publication Division of the University has been encouraging the members of the Faculty to go in for publications in various recognized International and National Journals. The University Newsletters are being brought out twice a year chronologically summing up the events of the University and the Affiliated Law Colleges.

- Books Published by the Law University in 2015 - 2016
- Compendium of National Consumer Disputes Redressal Commission Judgements - Vol. I

Journals

- Ambedkar Law University Journal (ALUJ) is published twice a year.
- The Students of SOEL have been publishing a Journal since 2014. The 3rd Edition of the Indian Student Law Review (ISLR) was released by the then Hon'ble Thiru. Justice Sanjay KishanKaul, Chief Justice of the High Court of Madras in August 2015.

COMMON FACILITIES

The Tamil Dr.Ambedkar Law University has adequate facilities for teaching and learning. The academic activities are now offered at the School of Excellence at the Perungudi Campus. It has separate Blocks for U.G. and P.G. Programmes with spacious, well equipped and IT enabled Class Rooms and Seminar Halls. The whole campus is Wi-Fi enabled. The campus encompasses an Administrative Block, Amenities Block, a well-equipped, spacious Library and adequate Sports Facilities with separate Hostel for Girls. The amenities for Boys Hostel have been provided at the Poompozhil Campus.

LIBRARY

The Library has rich collection of books in various disciplines. Besides general and special disciplines in Law it has text on English, Political Science, Public Administration, International Relations, Sociology, History, Commerce, Economics, Accounting, Management, Programming of Computers and Biographies of prominent personalities. The collections at the reference section include AIR Manual, American Jurisprudence, Corpus Juris Secundum, Halsbury's Laws of England, Halsbury's Laws of India, International Law Reports, U.S Supreme Court Reports, Words and Phrases, Encyclopedia Britannica, Encyclopedia of Social Sciences, Encyclopedia of Intellectual Property Rights, International Encyclopedia of Social Sciences etc.,

The Library building accommodates various sections such as Stack Reference, Circulations, Periodicals, Technical Section, Digital Library, Reprography and Digital Talking Library. A JAW (Job Access with Speech) for Differently Abled Persons had been established in October 2016. The University Library has a total collection of 36,404 Books, more than 8,500 Journals which includes online as well as print journals of National and International, 30 CD ROMs, 80 Audio Cassettes and 4 Video Cassettes.

The University Library has Institutional Corporate Membership with British Council Library (BCL) and American Information Resource Centre (AIRC) and has been availing library facilities for the faculty members and students, pursuing law.

The University Library subscribes various Online Legal Databases viz., Hein on Line, Lexis Nexis, SCC Online Web Edition and JSTOR. This University is Partner Institute in Legal Information Institute of India (LII of India). The University Library Portal provides access to various open legal databases viz., ASIANLII, Law Khoj, Legal Sutra, E – Jurix, My Law.

The Library is automated with Radio Frequency Identification Detention (RFID) Technology. Books can now be issued and returned through Self Service Kiosk and Book Drop Box. The digital library provides access to the global information to the users in the desktop with broadband Internet connectivity through NMEICT project by MHRD. Various online resource inside and outside the campus like Hein On Line, LexisNexis, JSTOR, SCC Online Web Edition, WestLaw and access to Legal Information Institute of India (LII of India) are extended to enhance the professional skill of the students.

AIR LAW CAFÉ

The Tamil Nadu Dr.Ambedkar Law University, in conformity with its objectives and functions of enhancing the prospects of students of the University to secure better grades, placements from multiple agencies in India and abroad and also to meet professional challenges has entered into Memorandum of Understanding with AIR Law Academy and Research Centre and launched the 'AIR Online Education Support Suite', 'AIR Law Cafe' on 2nd February 2019. The academic collaboration is intended to benefit the students in learning the nuances of practice at court.

PREAMBLE

The regulations may be called as the Tamil Nadu Dr Ambedkar Law University Ph.D. Regulations, 2020 which are in compliance with the UGC's Minimum Standards and Procedures dated 5th May 2016, and as amended by the UGC from time to time and also with the norms prescribed by The Tamil Nadu Dr. Ambedkar Law University for the award of Ph.D. Degree.

1. TITLE AND COMMENCEMENT

The Regulations shall be called the **“The Tamil Nadu Dr. Ambedkar Law University Ph.D. Regulations, 2020”** of the Tamil Nadu Dr. Ambedkar Law University.

The regulations shall come into force from the date of approval by the authorities of the University and from such time of notification.

2. DEFINITION

- i. *“Affiliated Law Colleges”* means the Law Colleges affiliated to The Tamil Nadu Dr. Ambedkar Law University.
- ii. *“Board of Examiners”* means Panel of examiners appointed by the University for evaluation of Ph.D. thesis submitted by the candidates.
- iii. *“Board of Research Studies or Research Board”* means, the Board to regulate all the Research Programmes of the University.
- iv. *“Candidate”* means any person who satisfies the prescribed eligibility conditions as stated in Regulation 3 and who intends to register for the Degree of Doctor of Philosophy.
- v. *“Co-Guide”* means recognized supervisor who supervises the Ph.D. work of a candidate jointly with the guide satisfying eligibility as prescribed under regulation 12.
- vi. *“Course Work”* means the compulsory preparatory study to be undertaken by the Candidate as prescribed by the Regulation.
- vii. *“Degree”* means the degree of Doctor of Philosophy (Ph.D.)

“Department” means the Academic department of the University.
- viii. *“Doctoral Committee /Research advisory committee”* means the committee constituted by the University to oversee the progress of research work of a candidate periodically.
- ix. *“Guide / Research Supervisor”* means the recognized supervisor to guide the research scholar satisfying the eligibility as prescribed under regulation 12.
- x. *“Regulation”* means the Ph D regulations of this University from time to time.

- xi. “*Research Director*” means the Director of Research & Publications and Academic Affairs of the University.
- xii. “*Research Scholar*” means a person duly admitted for the Ph.D., program under the Tamil Nadu Dr. Ambedkar Law University Ph.d., Regulations.
- xiii. “*University*” means The Tamil Nadu Dr. Ambedkar Law University.
- xiv. “*Viva Board*” means the board constituted for conducting open/public viva-voce of a research scholar.

3. ELIGIBILITY CRITERIA FOR ADMISSION

Ph.D. Degree in Law (Full-Time)

Candidate's possessing a Two Years Master's Degree in Law from any recognized university through regular fulltime study having secured a minimum of 55% of marks in the aggregate or an equivalent grade in point scale wherever grading system is followed.

Ph.D. Degree in Law (Part-Time)

In the case of candidates applying for part-time research in addition to the educational qualification prescribed as in (3.1), they should possess the following requirements.

- (a) Judges, having minimum of 5 years of regular service as Judicial Officers.
- (b) Teacher candidates who have put in two years of full-time regular service in university /Institution deemed to be a university or in any of the affiliated colleges of this University or any other university recognized by UGC. They must have published at least two research papers in refereed journal.
- (c) Advocates possessing M.L. Degree through regular full-time study should have minimum 5 years of professional experience and published at least two research papers in refereed journal.
- (d) Candidates employed in a time scale of pay in the Research Institutions/ Research Departments in the field of Law, should have work experience of 5 years after obtaining Masters Degree through regular full-time study and published at least two research papers in refereed journal.

Ph.D DEGREE (INTER-DISCIPLINARY)

Ph.D Degree (INTER-DISCIPLINARY) (Full Time)

- (a) Candidates possessing a Master's Degree in Engineering, Medicine, Forensic Science, Agriculture, Technology or in other Humanities & Social Science having

secured a minimum 55% or equivalent in its grade and a bachelor's Degree in Law from a recognized University through a regular full time study;

- (b) The candidates having qualified in the Company Secretary examinations (ACS) conducted by the ICSI and been awarded associate membership of the institute provided, the candidates have secured the qualification after the lapse of two years after obtaining the bachelor's degree in law by a recognized university through regular full-time study and have obtained a minimum of 55% marks in aggregate in the qualifying degree examinations.
- (c) The candidates having qualified in the Chartered Accountants Examination conducted by the Institute of Chartered accountants and been awarded membership of the Institute of Chartered accountants provided, the candidates have secured the qualification after the lapse of two years after obtaining the bachelor's degree in law in recognized university through regular full time study and 55% in aggregate in the qualifying degree examinations.

Ph.D Degree (INTER–DISCIPLINARY) (Part Time)

The candidates applying for part-time research, in addition to the educational qualification as in 3.2.1, they should possess the following requirements:

- (a) Teacher candidates who have put in two years of full time regular service in university /institution deemed to be a university or in any of the affiliated colleges of this University or any other university recognized by UGC in the concerned subject. They must have published at least two research papers in refereed journal [Appendix – G].
- (b) Candidates employed in a time scale of pay in the Research Institutions/ Research Departments, should have work experience of 5 years after obtaining the Master's Degree through regular full time study of which at least two years should be relevant in the field of research and published at least two research papers in refereed journal [Appendix – G].
- (c) Candidates employed other than a teacher in a permanent job in a registered firm/institution with a minimum of 4 years of total working experience after obtaining (PG) degree are eligible to apply for Ph.D. programme (INTER–DISCIPLINARY) (part time)

Relaxation of Marks

A relaxation of 5% of marks from 55% to 50% may be allowed for those belonging to SC/ST/ Differently Abled Persons and those candidates, who had obtained their Master's Degree prior to 19 September, 1991.

Reservation

The admission shall be based on the criteria notified by the university, keeping in view of the guidelines/ norms in this regard issued from time to time by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Government of Tamil Nadu adopted from time to time.

The above Reservation Policy has to be followed while allocating candidates to the respective guides.

4. ADMISSION

Procedure for Admission (Full Time / Part Time)

Admissions for the Ph.D. programme shall be advertised in the month of January every year subject to availability of vacancies.

- (a) Candidates seeking admission have to fill in the prescribed application form [Appendix – A] and submit the same with relevant documents within the prescribed date specified in the admission notification.
- (b) The eligibility criteria for admission of Ph.D programme will be based on the criteria notified by The Tamil Nadu Dr. Ambedkar Law University Ph.D. Regulations, 2020.
- (c) There shall be a common entrance examination for Law & Inter – Disciplinary conducted by the Controller of Examinations of the university for all the candidates (full time/ part time) and the details of the examination shall be as per the UGT Regulations and the exam shall consist of two parts. Part I consists of multiple choice, of question comprising of the following subjects

The Details of the Mode of Examination, Pattern of Examination and Syllabus will be announced later by the Controller of Examination.

- (d) The results of Common Entrance Exam shall be declared by the Controller of Examinations who shall issue the eligibility certificate to successful candidates in the Common Entrance Exam.
- (e) A Candidate securing at least 50% marks (45 marks in case of SC/ST / Differently Abled category) in the Entrance test shall get eligibility. Based upon the merit among the eligible candidates and the availability of vacancies, candidate shall be called for interview. The Common Entrance Exam marks shall have no bearing on the interview. The interview shall be for the maximum of 50 marks. Out of 50 marks 20 marks shall be allotted to written proposal and 10 marks for the presentation of the topic of research, the rest 20 marks will be interview which will be based on the research area and general research methodology.
- (f) The short listed candidates have to appear for an interview and are required to make presentation of their research area before a duly constituted Ph.D admission Committee. If the Admission Committee finds a candidate suitable for pursuing Ph.D. research programme the candidate shall be allowed to provisionally register under the Ph.D. programme.[Appendix – H]

- (g) The Admission Committee/ Interview Board shall duly certify on the eligibility of the candidate based on the performance in the interview. The selected candidate shall be issued with an admission letter by the appropriate authority for provisional registration.

Category Exempted From Entrance Examination

Candidates who have qualified in UGC - NET (including JRF) / UGC- CSIR NET (including JRF) / SLET or SET conducted by the State Of Tamil Nadu are exempted from entrance test for enrollment in Ph.D programme. However, such candidates have to appear for an interview before a duly constituted Ph.D Admission Committee.

The interview board / Admission Committee shall be constituted by the Vice-Chancellor. The members of the Committee shall consist of Research Director as Chairman, three Guides / Professors and one or two external members as subject experts who are Professors/ Associate Professors who are recognised as Guide by the University / Institution.

Note 1: The candidates seeking admission to Ph.D., program have to submit their research proposal before 5 days prior to the date of interview to the research director. The research director shall get them evaluated and keep the marks ready on the date of interview.

Note 2: Successful completion of entrance test and appearing before admission committee for interview shall not guarantee an admission to Ph.D. degree Programme.

5 DURATION OF THE PROGRAMME

Full Time Programme

The duration of Ph.D.-Full time programme shall be of a minimum period of three years, from the date of registration including course work and a maximum of six years.

Part Time Programme

Part time Ph.D programme shall be of a minimum period of three years, from the date of registration including course work and a maximum of six years.

Extension beyond the Maximum Time Limit

The maximum time limit for submission of the Ph.D thesis from the date of provisional registration is 6 years for both full time and part time Ph.D research scholars. However, extension of time may be permitted for duration of one year at a time not exceeding a maximum of 2 extensions based on the progress of work. A default fee as prescribed by the University in addition to regular fee shall be paid for each year of extension. After 2 extensions, the registration shall automatically lapse without any information from the university. No further extension shall be granted under any circumstances.

The Women candidates and persons with disability [more than 40% disability] may be allowed a relaxation for 2 years in the maximum duration subject to paying fees as per rules prescribed for regular candidates. In addition, the women candidates may be provided Maternity leave/ Child care leave once in the entire duration of the Ph.D programme up to 240 days.

6 PROVISIONAL REGISTRATION [FULL TIME AND PART TIME]

- (a) A candidate, certified as eligible for the Ph.D programme by the admission committee will be allowed to provisionally register with approval of the university and on payment of prescribed fee.
- (b) Candidates applying for provisional registration shall specify the subject of research in which he/ she intends to pursue to do research. Besides, a copy of the research proposal presented before the admission committee shall be submitted along with the application for provisional registration.[\[Appendix – B \]](#)
- (c) In case of topics which are of inter-disciplinary nature, candidates will be permitted to have a co-guide on the recommendation of the supervisor/Doctoral Committee, if necessary. The co-guide shall be duly recognized guide of the University.[\[Appendix – B & C\]](#)

The functions of the Director of Research are to:

- i. Issue a letter of provisional registration to Ph.D. programme [\[Appendix-I\]](#)
- ii. Constitution of the Doctoral Committee
- iii. Convene progress evaluation meeting of Doctoral Committee once in six months
- iv. Monitor the progress of the research scholar through the meetings of Doctoral Committee

7 ATTENDANCE

- (a) Attendance is compulsory for the Ph.D. Full-time (Both Stipendiary and Non-Stipendiary) scholars for the minimum period as prescribed in these regulations. Beyond the minimum period Ph.D. full-time scholars may be permitted to take leave of absence and allowed to submit the thesis before the expiry of maximum period as prescribed in the regulations. The research scholars shall pay all the applicable fees including Tuition fees and register for the continuation of the Ph.D. programme and shall appear before the Research Advisory Committee. The leave of absence will be granted only by the University.
- (b) Full time research scholars shall sign in the attendance register on all the working days. The office of the research department shall maintain common attendance register for all the full time research scholars. All the full time scholars have to handle classes of both UG and PG courses and to take part and attend academic activities that may be assigned by the Department.
- (c) Full time research scholars are eligible for a total of 15 days leave every semester and a maximum of 30 days in a calendar year, which they shall avail after obtaining permission from the supervisor, HoD and Research Director.
- (d) In case of data collection and attending seminars/conferences outside the University, on prior permission, the full time research scholar may attend the work by 'On Other Duty'. However, it is mandatory that the scholars maintain 75% of attendance by their presence in the university campus.

8. DOCTORAL COMMITTEE (OR) RESEARCH ADVISORY COMMITTEE CONSTITUTION AND FUNCTIONS

A Doctoral Committee shall be constituted for each candidate after his/ her provisional registration for the Ph.D programme. The Guide/Supervisor of the candidate should suggest a panel of names for the constitution of the Committee.

The panel suggested by the Guide of the candidate will be nominated and approved by the Research Director. The Doctoral Committee/Research Advisory Committee constituted by the University shall consist of:

- (a) The Guide of the Candidate shall be the Convener of the committee.
- (b) The HoD of the Department
- (c) One faculty member from the university.
- (d) One faculty member outside the university but within the State or from the neighboring States.

Wherever Guide is HoD, a senior faculty shall be included in the Committee from the Department. Departments wherein the number of faculty is less than 2, the members of the Committee shall be chosen from the Department specialising in a related field. However, the maximum number of members of the Committee shall be limited to 5. The guide can make a written request to the Research Director for a replacement of the member due to long absence or superannuation or resignation of a Doctoral Committee member or for any valid reasons.

The following responsibilities are required to be carried out from the date of provisional registration till the date of submission of thesis:

- (a) review the research proposal and finalize the topic of research.
- (b) guide the research scholar to develop the study design and methodology of research.
- (d) periodically review and assist in the progress of the research work of the scholar.
- (e) monitor that the research scholars (part time/ full time) submit reports to the University once in six months.

A research scholar shall appear before the Doctoral Committee once in 6 months compulsorily to make a presentation of the progress of his/her work for evaluation and for further guidance. The six monthly progress reports shall be submitted by the committee to the university with a copy to the research scholar. If a scholar fails to absent consequently two doctoral committee meetings, the Director of Research Studies may report the matter to the Chairman and the Chairman may take any decision that may deem fit including the termination of the registration subject to providing a reasonable opportunity to such Scholar. There on the Chairman may take a decision and place it before the Research Board for its consideration.

The first meeting of the committee shall be within 6 months after the provisional registration of the research scholar. In this meeting, the Doctoral Committee shall prescribe the courses that the research scholar is required to undertake for the **Part 1** examination.

The research scholar shall be permitted to proceed further, taking into consideration the suggestions rendered if any by the Committee with the progress of research work of the scholar.

9. BOARD OF RESEARCH STUDIES

- a) To regulate the research programme, the University shall consist of a Board of Research studies. The Vice-Chancellor shall be the Chairman.
- b) The Board shall consist of the Directors of UG, PG Studies of the University and the Director of Legal Studies shall be Ex-Officio Members. Three HoDs nominated by the Vice-Chancellor by seniority. Among the three, one HoD will be from the Inter-Disciplinary Studies. One Professor from Law, Two Associate Professors who are recognized as Guides and not being HoDs shall be members on rotation basis by seniority. Among the two Associate Professors, one Associate Professor may be from the Inter-Disciplinary Studies.
- c) Apart from the above, three outside experts not below the rank of a Professor from Law shall be external members to be nominated by the Vice-Chancellor on the recommendation of a panel of experts from the Director of the Research Studies. The Director, Research shall be the member Secretary.
- d) The Board of Research Studies normally may meet twice in a year. However, special meetings may be called with the permission of the Chairman at any time by giving fortnight's notice.
- e) One third of the members shall constitute the quorum, out of which one external member need to be present.
- f) Except ex-officio members all other members will have a period of 3 years from the date of nomination or till their retirement or ceases to be a Guide. Any vacancy arising may be replaced accordingly by the Chairman.

10. POWERS AND FUNCTIONS OF THE RESEARCH BOARD

1. The Research Board shall be the final authority to take appropriate decision on all aspects governing research, including addressing the concerns of the research scholars or topic of research and all the other concerned matters.
2. The Chairman of the Research Board is empowered to take all decision on behalf of the Board which may be placed for ratification or approval whenever it meets.
3. If any research scholar is not satisfied with the decision of the Research Board may appeal to the Chairman of the Research Board for revision/reconsideration/to be placed before the Syndicate of the University. Such decision taken by the Chairman or the Syndicate shall be the final and binding on the research scholar.
4. The Research Board shall approve a list of names of experts as Examiners submitted by the Research Director in consultation with the Chairman, both in Law and Inter-Disciplinary shall be placed before the Syndicate for approval. Such list drawn by the Research Board may be

5. revised at regular intervals. The Panel of Examiners need to be chosen from such list approved by the authorities.

11. PROGRESS OF RESEARCH WORK

After Provisional Registration, research scholars shall submit the application for continuation of Ph.D registration every year till submission of Ph.D thesis during the month of July ([\[Appendix – K\]](#)). The following documents are required to be submitted along with the application to the Research Director through the Guide and HoD :

- a) Two six monthly Progress Report of the candidate approved by the Doctoral Committee (format enclosed –[Appendix – J](#)).
- b) The prescribed tuition and other fees shall be paid in the form of challan along with the progress report. Failure to comply with fee payment on time will attract a penalty of Rs.3000/-.
- c) Recommendation of the Guide regarding progress of research is recorded in the progress report. [Progress Report Format annexed in Appendix – J]

Research scholars failing to submit the application for the continuation of registration along with the required documents will be treated as having discontinued from the Ph.D programme and this shall be notified by the Registrar of the University.

12. ALLOCATION OF RESEARCH SUPERVISOR/ GUIDE

- a) Every Ph.D. Scholar registered for the Ph.D. programme in Law /Inter-Disciplinary shall work under the continuous supervision of a recognized guide of the University and in the matter of interdisciplinary under the supervision of both Guide and Co-Guide.
- b) The Guide shall submit a declaration about the vacancy available from time to time to the office of the Registrar through the Research Director.
- c) A research scholar's close relatives / blood relatives / spouse cannot act as his/her guide or co guide.
- d) A Faculty member who is due to retire within 1 year from service shall not be entitled to take any fresh scholars as guide. This is not applicable to faculty members who have already applied for guide-ship before these regulations came into force as a onetime exemption and those of them who are may be eligible to be recognized as guides. However, if any faculty member due for superannuation are not eligible to become guides even though they are applicants prior to coming into existence of these regulations.

13. ELIGIBILITY CRITERIA FOR RESEARCH GUIDES/ CO- GUIDES:

A full time regular Professor / Associate Professor / Assistant Professor in law/ working in any department of this university or in any of the affiliated colleges of this university holding Ph.D. Degree in law, with teaching experience of not less than 3 years and 2 publications in their area of research in reputed/peer-reviewed journals, “*UGC Care list, UGC recognized old Journals*” after obtaining the Ph.D. degree in law, shall be recognized as research guide. Apart from the above, the faculty should have presented at least one full paper in an International/National Conference/Workshop/Symposia.

A Full-time Professor / Associate Professor / Assistant Professor in Inter-Disciplinary Studies working in the University Departments/affiliated Law Colleges or such other recognized institution in the field concerned holding Ph.D. degree in the concerned discipline with teaching experience of not less than 3 years and 2 publications in their area of research in reputed/peer-reviewed journals after obtaining Ph.D., “*UGC Care list, UGC recognized old Journals*” shall be recognized as research guide. Apart from the above, the faculty should have presented at-least one full paper in an International/National Conference/Workshop/Symposia. However, Faculty members prior to one year from the date of their superannuation are not eligible to be considered for recognition as research guides.

In Inter-Disciplinary studies basing on the research area of a candidate, the recognised guides in the University/ affiliated law colleges in interdisciplinary studies belongs the area of such research scholar shall be a guide and a recognised guide in law has be a co-guide, if such interdisciplinary faculty members is not possessing a Bachelor’s Degree in Law.

Faculty members desirous of supervising research scholars of Law/Inter-Disciplinary studies shall submit the application to the Research Director with detailed curriculum vitae (CV) along with two sets of the copies of publications and evidence of presentation of papers in conferences/workshop/symposia in the prescribed format.

The Research Director with the permission of the Chairman shall call for empanelment of Guides every year in the first week of March by giving a one month’s notice. After such notification and scrutiny of the applications the Research Director shall submit to the University for placing before the Research Board. After the approval of the Research Board such Guides shall be notified as Guides of Research, subject to the approval of the Syndicate.

Faculties who join the University after serving elsewhere can also apply for guide, provided they satisfy the eligibility criteria in their discipline (as stated above and not exceeding the maximum quota of limit to guide scholars as per UGC regulations).

A Guide / Co-Guide have to declare about the number of Research scholars working with him / her at the time of enrolment of each Research scholars, which includes full-time and part-time.([Appendix–D & E](#))

Category of Supervisor	Maximum Number of Research scholars
Professor	08
Associate Professor	06
Assistant Professor	04

14. CONVERSION OF FULL TIME REGISTRATION INTO PART TIME

Notwithstanding anything prescribed in these regulations, the University may permit conversion from full time research to part-time in respect of research scholars registered, for valid reasons and subject to satisfying the regulations, rules and conditions in force and on the approval of the Research Board of Studies and the Syndicate. At the time of conversion, apart from all regular fees that are payable from a research scholar need to pay an amount of Rs 5000/- as conversion fee after the approval of such conversion. After the lapse of thirty days of payment of conversion of fee the conversion come into force. Failing to pay such conversion e fee within a period of one month from the date of information of conversion such conversion will not come into force.

15. CHANGE OF SUPERVISOR

Generally change of Guide is not permissible. On recommendation of the Doctoral Committee, HoD and Research Director, the Chairman of the Research Board may approve a change of Guide for a research scholar only under exceptional circumstances, such as death/disability/change of place/medical conditions of the Guide or any other valid reasons. The decision may be placed before the Research Board of Studies for ratification.

- 15.2. Change of supervisor can be permitted with mutual consent given by both the original and proposed guide. In case, the change of supervisor is without the consent of any one of the parties concerned, the matter shall be referred by the Research Director to the Chairman, Board of Research Studies, whose decision shall be final.

In case any recognized Guide of the University/affiliated colleges availing long leave/lien/deputation beyond a period of six months and up to one year or more or on retirement, in such cases upon their written request of such Supervisor, the university may appoint a Co-Guide. In the absence of such request, the Research Director may suggest to the University to appoint any other Guide as a Co-Guide from amongst the Guides within the University/affiliated law colleges.

A faculty member recognized as a Guide ceases to be Guide on attaining superannuation or by resignation. In the above two cases, the research scholars already registered shall be permitted to continue under such Guides, provided the Guides are willing to continue to Guide. In case, for any reason if a change has to be made the University may allot a fresh Guide, subject to availability of Guides in the respective specialization.

16. CO GUIDE / CO SUPERVISOR

- a) The Guide and Co-Guide shall be solely responsible for all matters of research of such inter-disciplinary candidates are concerned.
- b) The research supervisor shall finalise and submit the thesis through the guide giving the acknowledgement and credit to the co-guide which shall also be mentioned in the Ph.D. notification by the registrar after successful completion of Ph.D.
- c) The time limit for the appointment of Co-Guide by the Doctoral Committee shall be within 12 months from the date of joining of the Ph.D. scholars. However the Doctoral Committee of Research Scholar, may recommend the appointment of a Co-Guide even after time limit for valid reasons.

- d) When a Faculty member, retires, will continue to be a Guide. However, a Co-Guide who is in service will be appointed in addition. The Guide who has retired will be invited for all the meetings relating to the research progress of the research scholar including Viva-voice examination.
- e) A Supervisor can act as a Co-Guide in order to accommodate interdisciplinary aspects of Research. The number of research scholars registered under a Co-Guide shall not be taken into count while counting the permissible number of research scholars registered under the particular Guide/Supervisor. The Supervisor / Guide shall be responsible for the successful completion of a Ph.D., programme of a research scholar.

17 CHANGE OF TITLE

A research scholar who deserves to change the title of the thesis after the registration shall apply to the office of the research director through the guide along with the recommendation of the doctoral committee after paying the prescribed fee. Such a change can be permitted any time before the pre submission viva voce.

If a research scholar decides to change the *topic* of research itself, the registration stands cancelled and the research scholar has to undergo the provisional registration process again. Those research scholars can apply for pre submission colloquium only after a period of 3 years from the date of registration of thesis.

18 COURSE WORK

The course of study for the Ph.D programme shall consist of two stages Part I, and thesis and the public viva-voce Part II (as mentioned in Regulation 24)

- (a) Every research scholar provisionally registered for the Ph.D. programme shall undertake course work in the first year as prescribed by the Doctoral Committee on recommendation by the Department where he/she pursues research. The details of the course work under the Part I of the Ph.D Programme are as follows:

PAPER	TITLE OF THE PAPER	MARKS		CREDITS
		MAX	MIN	
I	Research Methodology	100	50	4
II	Advanced Paper as per subject concerned	100	50	3
III	Paper on Research Ethics/ Plagiarism	100	50	3
IV	Background Paper relating to candidate's Ph.D. work	100	50	5
V	Research & Publication Ethics	50	25	2

- (b) A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade /CGPA in a point scale wherever grading systems is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

- (c) A research scholar who fails in the written examination of one or more papers may be permitted only once to re-appear for the examination of those papers within 3 months of publication of results.
- (d) The Controller of Examinations shall take necessary steps to get the question papers set by the respective guides of the research scholars, notify the date of examinations and take necessary steps to get the scripts to be evaluated by respective Guide of the research scholars as an internal examiner and by another external examiner. The mark lists will be sent to the office of the Director for Research studies by the Controller of Examinations.

Conformation of Provisional Registration

The provisional registration of a candidate for the Ph.D Degree shall be confirmed on the basis of successful completion of course work. All successful research scholars shall receive a course completion certificate that contains the title of the course and marks /grades scored, and the research scholar will be registered under Part II of the Ph.Dprogramme. He/she shall be permitted to proceed with his/her research work and submit the thesis at the expiry of minimum total period of research prescribed after provisional registration and the confirmation of the provisional registration will be decided as per the UGC regulations.

Exemption From Pre-Ph.D – Part I Examination

Research scholars with M.Phil. Degree, admitted to the Ph.D.Programme, or any other qualification prescribed by the University in this behalf from time to time are exempted from taking from Pre- Ph.D Examination, provided they are admitted to the research programme in their own discipline and based on the recommendation of the Doctoral Committee.

19. CANCELLATION OF REGISTARTION

- (a) The registration of a research scholar for the Ph.D. may be cancelled on the ground of lack of progress as per report of the concerned guide by affording opportunity to the candidate for defending his/her case.
- (b) If in the opinion of the Doctoral Committee, a research scholar's work is unsatisfactory, the committee has to record the reasons for the same and suggest corrective measures. If the research fails to implement these corrective measures, the Doctoral Committee shall issue a show cause notice for cancellation of registration.
- (c) The submission of the concerned research scholar to the show-cause notice shall be considered by the Doctoral Committee, which may thereon recommend to the Vice-Chancellor that the candidate be allowed to continue with his/her research or cancellation of his/her registration.
- (d) After consideration of the recommendations of the Committee, the Vice-Chancellor may either permit the scholar to continue with his/her research or cancel the registration of the candidate on the approval of the Board of Research Studies.

- (e) If the candidate does not respond to the show cause notice then on the recommendations of the Doctoral Committee the Vice-Chancellor shall cancel the registration of the research scholar and the same shall be notified by the Registrar.
- (f) Registration of the research scholar will be automatically cancelled if he/she fails to submit the Ph.D thesis within the maximum time limit as per the UGC Regulations (as mentioned in 3.4)

Right to Appeal

- (a) A research scholar whose registration is cancelled shall have a right of appeal to the Board of Research Studies and such an appeal should be submitted in writing within 60 days from the date of receipt of the order.
- (a) The Board of Research Studies on proper examination of their case, may either uphold the Doctoral Committee's advice or may reverse such decision or may take any other appropriate action in the matter. The decision of the Board of Research Studies shall be final and binding on the research scholars.

20 SUBMISSION OF SYNOPSIS

- 20.1(a) Prior to the submission of the Ph.D thesis, every research scholar shall submit to the University through the supervisor, 6 copies of synopsis of his /her Ph.D thesis highlighting contents of the proposed thesis together with the title of the thesis.
- (b) The synopsis shall be submitted not less than 3 months before the submission of Ph.D thesis in the form of hard copy and soft copy (electronic version) along with the certificate of the Doctoral Committee and prescribed fee. The hard copy should not exceed 20 pages typed on one side only of A 4 size with one and half space. The University shall prescribe a format for the synopsis. [Appendix–L].
- (c) On receipt of the synopsis, the Research Director shall organize the Pre thesis Submission colloquium where the research scholar is required to make a presentation. The Colloquium shall consist of members of Doctoral Committee, faculty members and other research scholars. The comments and suggestions may be made by the experts at the time of presentation.
- (d) During extraordinary circumstances when it is not possible to conduct colloquium in the campus of the university subject to the approval of the Vice-Chancellor, the colloquium may be conducted through video conferencing. While conducting colloquium through video conference, it may also be ensured that it shall be open to be attended by members of Doctoral Committee, all faculty members of the university, other research scholars. The university shall maintain due record of the same including the comments and suggestions made by the experts at the time of presentation.
- (e) If the Doctoral committee finds that the candidate has made satisfactory progress, it may approve and recommend the candidate to submit the final thesis.

- (f) Based on the suggestions and the recommendations made by the experts, the scholar may be required by the Doctoral Committee to submit the revised synopsis incorporating the recommendations made by the experts within a period of 15 days' time from the date of presentation and the same may be suitably incorporated in the thesis.
- (g) If the Doctoral Committee is not satisfied with the Pre-thesis submission Colloquium of a candidate, the scholar may be given a chance to reappear for the Colloquium again after a gap of one month.

Panel of Examiners

- (a) At the time of submission of the synopsis a panel of 6 examiners shall be submitted by the Research Supervisors from amongst the list approved by the Research Board to the Director, Research in the prescribed proforma in a sealed cover. The Research Director in turn shall forward the same to the Office of the Chairman of the Research Board for approval and necessary action.
- (b) In case, in the Inter-Disciplinary area if any of such experts name is not available in the recognized list, the Supervisors concerned shall submit a panel of names who are already recognized as Guides by such institutions at-least three months in advance to the Research Director for onward transmission to the Chairman, Research Board for approval with necessary documents. Upon receipt of such request the Chairman, Research Board may seek the approval of the Research Board by circulation which may be ratified later by the Syndicate.
- (c) After receipt of the panel of names, the Office of the Chairman of the Research Board of Studies will process and procure the consent of the four examiners approved by the Chairman or such Research section created in future which works under the supervision of the Chairman of the Research Board.
- (d) **After receipt of the four examiners the chairman shall forward the Thesis to the three external examiners. Out of the four examiners, one external examiner name may be kept in reserve, in case if a reference to be made such fourth examiner will be considered for sending the thesis.**

21. PLAGIARISM TEST AND PLAGIARISM CHARGES

- (a) Every research scholar before submitting the final dissertation has to submit a soft copy of the Thesis in full form completed in all respects for a Plagiarism test to the Guide.
- (b) Upon receipt of such soft copy, the Guide and Co-Guide has to check the Thesis for a Plagiarism test through such designated software recognized by the University through such recognized personnel.
- (C) The level of such plagiarism test shall not be more than 15%. The report should be signed by both the Guide and Co-Guide. Such report shall form part of the Thesis, the last page.

- (D) At the time of submission of Thesis, such plagiarism report along with a full copy of the Thesis that was placed for plagiarism test in a soft copy be submitted to the Director, Research Studies for onward transmission to the Office of the Chairman, Board of Research Studies.

22. SUBMISSION OF Ph.D. THESIS

- (a) The research scholar is eligible to submit his/her thesis only after the completion of 3 years of research work from the date of his/her Registration i.e., from the date of provisional registration.
- (b) The Ph.d scholar must publish at least one (1) research paper in refereed journal and make 2 paper presentations in conferences/seminar relating to his/her research topic before the submission of dissertation / thesis for adjudication and produce evidence for the same in the form of presentation certificate and /or reprints.
- (c) A research supervisor shall prepare a thesis in English embodying substantial work of original research done by him/her and submit 6 copies of the Ph.D. Thesis in hard binding form and an electronic version of the Thesis in PDF format (3 CDs) [\[Appendix–Q\]](#) for evaluation, within 3 months from the date of submission of the final synopsis . The length of the thesis should not be less than 300 printed pages.
- (d) The thesis for submission shall have an undertaking from the research scholar and a certificate from the research supervisor attesting to the originality of the work, vouching that there is no plagiarism ([Appendix - N](#)) and that the work has not been submitted for the award of any other Degree/Diploma of the same institution where the work was carried out, or to any other institution([Appendix – O& O1](#)). The title page of the thesis, cover, etc ., should strictly confirm to the format prescribed by the University [\[Appendix – M\]](#).
- (b) The thesis along with the application for Ph.D degree for evaluation should be submitted not later than 6 months after the submission of final synopsis, through supervisor, Ho.D and Research Director.
- (f) A research scholar shall make a written request for his/her inability to submit the thesis within 6 months from the date of submission of the synopsis, through the supervisor to the Research director. Based on the recommendation of the Doctoral committee, HoD and Research Director, he/she may be given a maximum of two extensions of 3 months each with the approval of the Vice-Chancellor on valid grounds. However, it is subject to the maximum time limit for the submission of the thesis as prescribed in 5.4. After the expiry of such extensions the registration to the Ph.D will stand automatically cancelled.
- (g) If a research scholar fails to submit the thesis within 1 year from the date of submission of the synopsis, he/she has to submit the revised synopsis and appear for the colloquium again by remitting prescribed fee and the same shall be applicable for the women scholars and persons with disability (more than 40% disability). A fresh panel of examiners shall then be submitted by following the process as mentioned in 19.2.
- (h) Women scholars and persons with disability (more than 40% disability), a maximum of 2 extensions of 6 months each shall be given at the discretion of the

Vice-Chancellor. However, it is subject to the maximum time limit for the submission of the thesis as prescribed in 5.4 after the expiry of such extension the registration to the Ph.D will stand automatically cancelled.

- (i) If any research scholar fails to submit his/her Ph.D. Thesis within six years he /she may apply to the University for extension of his /her registration for a maximum of one year with the recommendation of the guide through Research Director to the Board of Research Studies by remitting the prescribed fee to the university for the approval. (Refer 5.4)
- (j) If the research scholar fails to submit thesis even after seven years then his/her Ph.D registration is annulled and such a research scholar has to begin the admission if he/she desires to work again.

23. EVALUATION OF THE Ph.D. THESIS

- (a) The Research Director shall forward all the 6 copies of the final thesis to the office of the Vice- chancellor. The entire evaluation process shall take place under the direction of the Vice-Chancellor. Within a period of six months from the date of submission of thesis.
- (b) The Vice-Chancellor after the receipt of the consent of the three external examiners within the panel of examiners shall choose any two External Examiners and the guide be directed to process the thesis for evaluation.
- (c) The Board of Examiners so appointed shall carry out the evaluation and report on the merit of the thesis. After evaluation each Examiner is required to send a detailed report on the thesis along with a short report duly signed and duly filled as prescribed in the proforma sent by the University for adjudication of the Ph.D., thesis. (Appendix - P).
- (d) The Board of examiners shall report on the merit of the research scholar as " Highly Commended" or "Commended" "Satisfactory" or "to be re-submitted" or "not Commended".
- (e) The public viva-voce of the research scholar to defend the thesis shall be conducted only if the evaluation report(s) of all the external examiners on thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. Each examiner in the report may ask clarifying questions, if any, to be answered at the time of Viva-voce examination.
- (f) If any of the examiner/examiners requires the research scholar to carry out any corrections/modifications, the research scholar shall be asked to carry out the same in the thesis through the supervisor. Two copies of the revised thesis duly certified by the guide and Doctoral Committee shall be submitted by the research scholar together with the list of corrections before the Public Viva-voce examination.
- (g) When examiners differ in their report on the merit of the research scholar:
 - (i) If the examiners unanimously have not commended, then the thesis shall be rejected and the research scholar shall be declared ineligible for the award of Ph.D Degree. The university shall notify rejection of the thesis and cancellation of Ph.D registration.

- (ii) If one of the evaluation reports of the two external examiner is unsatisfactory and does not recommend for viva-voce, the chairman shall refer the thesis to the already consented examiner. In case if the consented examiner is not available for any reason the chairman may select any one of the remaining three examiners out of the panel already submitted. After the receipt of the satisfactory report of such examiner the chairman can direct the research director for further process of viva voce. If report of the latest is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of Ph.D Degree. The university shall notify rejection of the thesis and cancellation of Ph.D registration.
- (h) If the examiner/examiners require the research scholar for revision and re submission for further evaluation, then the revised thesis duly certified by the guide, Doctoral Committee, Head of the Department and Research Director shall be sent to the same examiner for further evaluation.
- (i) If there is no specific instruction/ in the absence of instruction in this regard, the revised synopsis need not to be sent back to the examiners. However the revised thesis duly certified by the supervisor, Doctoral Committee and the Research Director will be accepted and the candidate shall be allowed to appear for the Viva-voice examination.
- (j) The revised thesis duly certified by the supervisor shall be submitted with the payment of prescribed fee within a duration not exceeding a period of 1 year from the date of declaration of the results along with the statement from the research scholar specifying the details of the additional research work done. The university shall refer the revised thesis to the same examiner who evaluated the thesis for re-valuation at the earliest.

24. PUBLIC VIVA VOCE (PART II EXAMINATION)

- (a) All the three examiners shall send the Evaluation report directly to the Vice-Chancellor of the University. If all the 3 reports unanimously recommend for award of Ph.D. degree, the Vice – chancellor may direct the Research Director to consolidate the reports and submit the same to the Vice-chancellor for fixing the date of viva-voce in consultation with one of the external examiners chosen by the Vice- Chancellor.
- (b) The viva-voce shall be conducted on any working day and shall be within three months from the date of consolidation of reports. The Viva-voice Board should consist of External Examiner (Viva-voce) Chairperson, Research Director and the concerned supervisor as members.
- (c) The Research Director shall conduct the Viva-voice examination and shall be open to be attended by members of Doctoral Committee, Faculty members of the university, other Research Scholars, Students and Interested experts/researchers and students.
- (d) The Research Director shall give wide publicity by giving at least 15 working days (excluding Saturday, Sunday and Public holidays) for scheduling Viva-voice examination. The examination time limit for conducting the Viva-voice shall be three months from the date of consolidation of reports.

- (e) If for any reason the supervisor is unable to participate in the viva-voice examinations, the Vice - Chancellor will be authorized to appoint a faculty member who is a recognized guide of the University in the place of Supervisor.
- (f) In case where the Viva-voice examiner appointed by the Vice-Chancellor is not in a position to participate in the Viva-voice examination, the Vice-Chancellor will be authorized to appoint the viva-voice examiner within the panel of examiners.
- (g) If the research scholar is unable to take the viva-voice within three months on valid grounds, the Vice-Chancellor can permit two months extension on written request from the research scholar through the supervisor and on the recommendation of Doctoral Committee and Head of the Department. The Research Director shall forward the request letter to the Vice-Chancellor. If the research scholar fails to take the viva-voice after the two months extension the Ph.D., registration shall be cancelled.
- (h) During extraordinary circumstances when it is not possible to conduct viva-voce examination in the campus of the university subject to the approval of the Vice-Chancellor, Ph.D. viva examinations may be conducted through video conferencing. While conducting viva-voce examination through video conference, it may also be ensured that it shall be open to be attended by members of Doctoral Committee, all faculty members of the university, other research scholars, students and interested experts / researchers and students besides research supervisor and expert examiner(s). The university shall maintain due record of the same including the signed report from the expert examiner appointed for conduct of viva-voce examinations.
- (i) In the Viva-voice Session the Research Director will introduce the external-examiner. The research scholar shall make a 30 minutes presentation of the thesis. After the presentation, the external examiner shall begin the questioning session, followed by the Faculty and the audience present.
- (j) On completion of the Viva-voice examination the Research Director consolidate the recommendation and shall send the following to the registrar to notify the declaration of the result [\[Appendix – R\]](#):
 - (i) Proceedings of the Viva-voice examination.
 - (ii) Evaluation and Consolidated reports.
- (k) The Registrar shall send the Ph.D., Declaration Notification to the office of the Research Director, guide and co guide and issue a copy to the research scholar.
- (l) The Research Director shall forward a copy of the Ph.D., Declaration Notification along with the list of participants in the Viva-voice examination [\[Appendix – S\]](#) with their signature, designation and address to the Controller of Examinations.
- (m) A research scholar, who is not successful at the Public Viva-voice examination, may be permitted to take the Viva-voice exam on a second time within a period of two months on the payment of prescribed fee. In the event of he/she is not successful again his/her candidature for the degree may be rejected. Research scholar shall not be permitted to appear for the Public Viva-voice examination for the third time.

- (n) The notification of Ph.D. declaration must be ratified by the Syndicate. After the issue of notification the Thesis will be posted on the website and the same will be sent to the Inflibnet as prescribed by the UGC regulations.
- (o) Prior to the actual award of the degree, the Controller of Examinations shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provision of the UGC Regulations, 2016.

25. AWARD OF DEGREE

- (a) Research scholars who qualify for the Ph.D., degree shall be awarded the degree in their respective discipline at the time of convocation and the certificate shall incorporate the title of the thesis.
- (b) Research scholars who have qualified for the Ph. D., degree in the interdisciplinary area, on the recommendation of Doctoral Committee, the University may issue the degree in the respective discipline under which they have registered and completed the Ph.D., programme. The format of the degree certificate shall bear the discipline of the department in which the research scholar has conducted his/her Doctoral Research indicating them as Inter – Disciplinary.

26. PUBLICATION OF THE THESIS

If a research scholar intends to publish the thesis, he/she shall seek the permission of the University for Publication. The University basing on the report of the examiners may issue the certificate referring to the specifications mentioned there in.

27 POWER TO REMOVE DIFFICULTIES

In implementing these regulations notwithstanding anything specified therein the Chairman, Research Board shall have the power to remove any difficulties and such decision shall be final and binding.

28. SAVING CLAUSE

These regulations will come in to effect from the date of their notification prospectively. The University reserves the rights to bring in any modifications/amendments of these rules and regulations from time to time either to incorporate such modifications made by the regulatory agencies/bodies or by the University in order to remove any difficulties. However, such modifications will come into force from the date of their notification and will not affect majorly the existing scholars.



Application for Admission for the degree of Doctor of Philosophy (Ph.D.)

1. Faculty: _____ 2. Subject: _____

3. Research Topic:

To,

The Registrar
The Tamil Nadu Dr. Ambedkar Law University
Chennai – 600 028.

Mobile No.....
E-Mail.....

Dear Sir,

I hereby apply for admission to the Ph.D. Degree. I state that I have not been admitted as a student for this or any other Degree in this or any other University. The required details about myself are as follows:

1. Name in full (in Capital Letters) : _____

2. Date of Birth:

--	--	--	--	--	--	--	--

3. Gender : Male/Female/Transgender
(Strike out whichever is not applicable)

4. Nationality : _____

5. Permanent Address : _____

6. Present (Local) Address : _____

7. I belong to the category mentioned below

(Please Tick the appropriate box & attach attested caste certificate)

Open Category	SC	ST	MBC	DNC	BC(O)	BC(M)

8. Present Occupation/Employment : _____
(Give Name and Address of the Employer) _____

a. Particulars of Degrees previously obtained (attach attested copies of statement of marks and certificates)

Degree	University	Year of Passing	Subjects offered	Class Grade	Percentage Grade Points
Bachelor's Degree					
Master's Degree					
M/Phill. Degree					
Any other Degree / Diploma					

b. Particulars of Publications:

Title of the Paper	Book Name of the Journal	Publisher Place and Year of Publication
1.		
2.		
3.		

c. Details of Teaching Experience:

Name of the College	Subject(s) Taught	Year(s)

d. Details of professional experience, if any (Attach necessary Certificates) :

(i) Nature of Professional Experience: _____

(ii) The Institute where Professional
experience was gained : _____

(iii) Period of Professional experience: _____

e. (i) Title of M. Phil. Dissertation,

if applicable : _____

(ii) Is the Proposed topic of Doctoral Research related to or an expansion of the M. Phil.
Dissertation? : Yes / No

f. Name and address of the

Approved place of research: _____

(where I desire to do Doctoral Research)

All the particulars given above are true to the best of my knowledge . I have read the Prospectus for the Degree of Doctor of Philosophy (Ph.D.) and I undertake to abide by them. I also undertake to regularly report at the Place of Research unless otherwise permitted by the Head, Place of Research on the recommendation of the Research Guide.

Eight copies of the research outline (as per appendix ‘B’ of the Rules) and a Xerox copy of the registration fee receipt are enclosed.

Fee Details:

1. Bank_____

2. Branch._____

3. DD No._____

4. DD Amount & Date_____&_____

Thanking you

Yours Sincerely,

Name of applicant

Date :

Place :

SUBMISSION OF RESEARCH PROPOSAL

Along with the application form (for Registration) the researcher has to submit eight copies of his/her research proposal. This is a brief description of the research plan which should include:

- i. Rationale and Significance of the study,**
- ii. A survey of work done in the research area and the need for more research,**
- iii. A statement of aims and objectives,**
- iv. Methodologies and Techniques to be used,**
- v. The kinds of conclusions expected and their possible value,**
- vi. Plan of research, and**
- vii. bibliography.**

The expected length of the research proposal is six to eight double-spaced A-4 size pages.

The names of the researcher and the topic of research should be printed at the top and the researcher should sign the proposal.

APPENDIX 'C'**FORMAT FOR INTER-DISCIPLINARY RESEARCH PROPOSAL**

Name	
Academic qualification	
Age & Date of Birth	
Occupation (if any)/Designation	
Duration of Employment	
The subject in which the candidate has qualified for the Master's Degree	
The proposed discipline in which the candidate intends to work for Ph.D	
The proposed department (with address) where the candidate intends to work for Ph.D.	
The theme of proposed research (in not more than 500 words)	
Whether the proposed Ph.D. theme is partly, directly or indirectly related to the branch of knowledge in which the candidate has qualified for his Master's Degree?	
If so, briefly describe (in not more than 500 words) the input from the two disciplines to the proposed area of research for Ph.D.	
Details of publications, if any, bearing on inter-disciplinary research, pertaining to the topic. If yes, furnish the details	
Comments of the supervisor under whom inter-disciplinary research is proposed	

Signature of the Applicant

APPENDIX 'H'

DECISION OF THE ADMISSION COMMITTEE

On the basis of the merit of the proposal and the report of the presentation, the Committee

(a) Approve/s the topic of Research/ recommends the following change in the topic: _____

(b) Appoint/s the following Co-Guide: _____

Chairman

Date:

Admission Committee

CONFIRMATION OF ADMISSION/ REGISTRATION**Ref. :****Date :****To,**

Subject: Confirmation of admission to the Ph.D. in (subject)_____.

Dear Mr. / Ms._____I am happy to inform you that the Admission Committee in (Subject)_____(Faculty)_____ has approved your research topic as it is/with the modification/s as follows:

Your admission is now confirmed. The details of your admission are:

1. Subject : _____
2. Faculty : _____
3. Guide : _____
4. Co-Guide : _____
5. Date of Registration : _____
6. Period of Registration : from_____to_____.

Please note that your admission will be governed by the Tamil Nadu Dr.Ambedkar Law University regulations, 2020 for the Degree of Doctor of Philosophy (Ph.D.).

Please also note you will have to pay the prescribed annual fee within a month from the date of your admission. The respective annual fee will have to be paid within a month from the date of completion of each year.

Thanking you

Yours faithfully

Director, Research

Copy to:

- 1.The Registrar
- 2.The Guide
3. The Co-Guide

APPENDIX 'J'**PROGRESS REPORT OF THE Ph.D PROGRAMME**

(To be submitted once in six months in the case of full-time candidates and once in a year in the case of part-time candidates)

PART A

Six Monthly Progress Report submitted by the Research Scholar and endorsed by guide and co guide Pursuing Ph.D. Programme in the Tamil Nadu Dr Ambedkar law university Chennai
As per Appendix 'E' of Circular No 14/ 2017.

Progress Report No.	I	II	III	IV	V	VI	VII	VIII	IX
(Please Tick)									

Name of the Research Scholar	
Name of the Research Supervisor	
Date of Registration	
Faculty	Inter Disciplinary
Discipline	LAW
Time/ Period for which Progress Report is Submitted.	From ___/___/____ to ___/___/____.
Title of the Research	

Progress Carried Out as Follows:

1. Elaborate description of the reading/ writing/ data collection.(Review of literature and a note on the relevance of each literature to the proposed project):
2. Methodology: Standardization of Techniques, Validation of the Tools, etc. (Including data collection and statistics) etc. if applicable:

3. Out- come of Research Work:
4. Publications accepted/ communicated/ Manuscripts ready for submission (if any):
5. Overall Progress:
6. Difficulties and Challenges encountered:
7. Proposed Plan of Work for the upcoming six months:

Remarks of Research Supervisor*:

Signature of Research Supervisor.

Convener of The RAC

*The Guide is expected to certify the report and mention in unambiguous terms whether the progress is satisfactory or not and whether the work done during the period under consideration is relevant and adequate or not.

PART B

Remarks of The RAC:

[illegible]

(Please attach Separate Sheet if required.)

Signature
Subject Expert (Internal)

Signature
Subject Expert (External)

Signature
Research Supervisor.
(Convener)

Date:

Place:

APPENDIX ‘ K’**Application format for continuation of Ph.D. Registration**

[All candidates (both full-time and part-time) shall submit the progress report approved by the Research Advisory Committee, along with "Continuation of Ph.D. Registration" application every year till submission of the Ph.D. thesis during July 1st to 30th. Candidate failing to submit the application for the Continuation of Registration will be treated as having discontinued from the Ph.D. Programme].

Name of the Candidate	
Registration Number	
Gender	
Community	
Category	
Institutions	
Mobile Number	Email ID
Have you availed Fellowship	
Name of the Supervisor	
Supervisor Designation	

Supervisor Department	
Institution Address	
Interdisciplinary	
Date of Joining	
Year of Continuation	
Registration Communication Ref.No.	
Doctoral Committee meeting conducted	
Confirmation of Provisional Registration	
Two six monthly report enclosed	
Synopsis submitted	
Academic progress –(for the current academic year)	
Doctoral committee meeting held in the current academic year [Yes / No]	Yes/No.
Did you present paper in any National level conference / seminar during the current academic year	
Did you publish any article in Peer Reviewed journals in 2018-19 Yes / No	

APPENDIX –‘L’



Application for the submission of SYNOPSIS for the Ph.D.To be Filled in by the candidate:

Particulars of DD:
Name and Branch of Bank:
DD No and Date:
Amount:

FeeRs.2000/-

Paste
 Passport
 size

1.	Name of the candidate										
2	Gender										
3	Age and Date of Birth										
4	Name of Father and Mother										
5	Nationality	Religion	Community	SC	ST	BC(O)	BC(M)	MBC	DNC	OC	
6	Address with Phone Numbers(in Block letters in which communications should be sent)		Email: Mobile: Landline:								
7	Register Number										
8	The month and year in which the candidate has registered for the Ph.D. Degree (Quote the number and date of this office communication of the Ph.D. Degree)		No. of Ph.D. Degree Date:.....								

9	Title of the Thesis(in BLOCK letters]	
10	Tentative Date of submission of Thesis	
11	Name of the Institution (University Department / College / Research Institute) in which the candidate is pursuing Ph.D. Degree and the Name of the Supervisor.	
12	Signature of the Candidate	
13	Signature of the Supervisor with Designation and Seal	Email: Mobile: Landline:
14	Signature of the Co-Guide with Seal (Only if it is approved by the University)	
15	Signature of the Head of the Institution (Director) with Seal.	

Station:

Date:

CHECKLIST

Name of the Candidate :
Name of the Guide :
Name of the Co-Guide :
Nature of Registration Full –Time/Part Time :

The following Documents should be submitted along with the Application Marked “Synopsis”

1. Photocopy of the Admission Letter	
2. Photocopy of the Provisional Registration /Confirmation of Provisional Registration Letter	
3. Minutes of the Doctoral Committee recommendation to submit the Synopsis	
4. Six monthly Reports submitted for the research period from Registration till submission of Synopsis	
5. Three copies of Synopsis and a soft copy in CD	
6. Synopsis Fee Rs.2000/- paid on submission:	
7. Peer Reviewed Journal Publication (Two) submitted (ISSN, ISBN Number)	
8. Certificate for Presentation of Seminar (2)	
9. Synopsis submitted on	

Signature

Model for Cover and Title of the Ph.D. Thesis

TITLE OF THE THESIS

Thesis submitted in the Tamil Nadu Dr.Ambedkar Law University

in partial fulfillment of the

Degree of Doctor of Philosophy (Ph.D.)

in < Subject>

By

(Name of the Candidate)

Under the guidance of

(Name of the Guide / Supervisor with Designation)

University Emblem

(Name of the Department)

(Name of the Institution)

(Name of the Place)

(Month and Year)

PLAGIARISM CERTIFICATE (For Ph.D. Dissertation)

This is to certify that the thesis entitled _____
 _____ Submitted by _____ bearing registration number
 _____ in partial fulfillment of the requirements for award of Doctor of Philosophy
 in Tamil Nadu Dr.Ambedkar Law University is a bonafide work carried out by him/her under
 my supervision and guidance.

This thesis is free from plagiarism and has not been submitted previously in part or in full to
 this or any other University or Institution for award of any degree or diploma.

Further, the student has the following publication(s) before submission of the thesis/monograph
 for adjudication and has produced evidence for the same in the form of acceptance letter or the
 reprint in the relevant area of his research (**Note:** at least one publication in refereed journal is
 required)

1. _____ (ISBN/ISSN Number _____)

Chapter of dissertation where this publication appears (delete if not applicable)

2. _____

Chapter of dissertation where this publication appears(delete if not applicable) and has made
 presentation in the following conferences:

(Note: Delete if not applicable)

1. _____
 (National/International)

2. _____
 (National/International)

Further, the student has passed the following courses towards fulfillment of coursework
 requirement for Ph.D. / was exempted from doing coursework (recommended by Doctoral
 Committee) on the basis of the following courses passed during his M.Phil program and M.Phil
 degree was awarded:

Course code	Name	Credits	Pass/Fail
1.			
2.			
3.			
4.			

Supervisor

Head of Department

Dean

DECLARATION

I declare that the thesis entitled _____
submitted by me for the degree of Doctor of Philosophy (Ph.D.) is the record of work carried
out by me during the period from _____ to _____ under the guidance of
_____ and has not formed the basis for the award of any Degree,
Diploma, Associateship, Fellowship, Titles in this University or any other University or other
similar institution of Higher Learning.

Signature of the Candidate

CERTIFICATE FROM THE SUPERVISOR

I certify that the thesis entitled_____submitted for the degree of Doctor of Philosophy (Ph.D.) by Mr./Ms._____is the record of research work carried out by him/her during the period from_____to_____under my guidance and supervision, and that this work has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or institution of Higher Learning.

Signature of the Supervisor with designation

PROFORMA FOR ADJUDICATION OF THE PH.D. THESIS

1. Name of the Candidate :

2. Title of the Thesis :

3. Discipline and Subject :

4. Name and Address of the Examiner :

5. Recommendations of the Examiner (Please strike out whichever are not applicable) :

[a] Thesis is highly commended :
(or)

[b] Thesis is commended
(or)

[c] Thesis is commended and the degree may be awarded subject to the candidate's furnishing satisfactory clarification to my queries during the Public Viva Voce examination. (or) [d] Thesis is commended and the degree may be awarded subject to the condition that the corrections / modifications suggested by me are carried out in the thesis and duly certified by the supervisor – convener before the public viva-voce examination.

(or)

[e] Thesis needs to be resubmitted after revision for revaluation.

(or)

[f] Thesis is not commended and the degree may not be awarded.

Note: Please enclose your detailed report on the thesis. Please also enclose a list of questions, if any, to be asked at the public viva-voce examination.

6. Any other remarks

Place :

Date :-----

(Signature of the Examiner with Designation)

Address:

Rules for submission of CD of Ph. D. thesis

1. The candidate shall store in a CD each chapter of his thesis in a separate pdf file using file naming format as prescribed by “Shodhganga”. The file naming format is given below:

File naming format:

Name	Type
01_title.pdf	PDF File
02_certificates.pdf	PDF File
03_acknowledgements.pdf	PDF File
04_contents.pdf	PDF File
05_preface.pdf	PDF File
06_list of tables figures.pdf	PDF File
07_chapter 1.pdf	PDF File
08_chapter 2.pdf	PDF File
09_chapter 3.pdf	PDF File
10_chapter 4.pdf	PDF File
11_chapter 5.pdf	PDF File
12_chapter 6.pdf	PDF File
13_chapter 7.pdf	PDF File
14_references.pdf	PDF File

As per this format, each component of the thesis/dissertation shall be stored in separate pdf file as listed above.

All files shall be stored in the single CD.

Each file shall be given the name as given in the above format.

Candidate shall not protect the files in the CD with password.

Consolidated Report of the Referees on the Viva Voce

The viva-voce of Mr. /Ms. _____ was conducted on _____ (day and date). The performance of the candidate was satisfactory/unsatisfactory. We have conducted the open defence of the Ph.D. thesis entitled: _____

_____ in the Tamil Nadu Dr.Ambedkar Law University _____ on _____

The performance of the candidate was satisfactory / unsatisfactory. We recommend that he/she be awarded the Ph.D. Degree / should not be awarded the Ph.D. Degree/ the viva voce be arranged again on _____.

(Guide)

(External Referee)

(Chairman)

Date:

(Supervisor / Research Guide)

THE TAMIL NADU Dr.AMBEDKAR LAW UNIVERSITY

(Attendance Sheet)

Ph. D. Viva Voce of _____

Title of the Thesis: _____

Date of Viva _____ Time _____ Venue _____

List of the persons attending the Open Defence.

Name of the person

Signature

1. _____

2. _____

3. _____

4. _____

5. _____

6. _____

7. _____

8. _____

9. _____

10. _____

11. _____

12. _____