

SUBJECT : FINANCIAL MARKET AND SERVICES

SUBJECT CODE : HBBB

Objective of the Course

The Course aims at providing the students, basic knowledge about the Finance concepts, markets and various services provided in those markets. The syllabus is structured in a way which provides adequate information about the roles of intermediaries and its regulating bodies. The course also provides information about the prevailing financial system in India.

UNIT- I : Introduction

Overview of Financial systems In India – Structure, Regulation Role And Functions Of Financial Systems – Financial Instruments – Financial Markets – Capital Markets & Money Markets – Interlink Between Money Market & Capital Market – Characteristics Of Financial Markets – Functions Of Stock Exchange – Introduction To Forex.

UNIT – II: Financial Services

Objectives of financial services – types of financial services – capital market services & money market services – intermediaries: banking financial corporations , non banking financial corporations & insurance corporations- financial services sector problems and reforms.

UNIT – III : Venture Capital

Venture capital: growth of venture capital in India- financing pattern – legal aspects and guidelines for venture capital – leasing- types of leases – leasing vs borrowing - credit rating : CRISIL, ICRA & care – factoring, forfeiting- bill discounting – types of factoring arrangements – factoring in Indian context.

UNIT – IV : Mutual Funds

Mutual funds: concepts and objectives – functions and portfolio classification-guidelines for mutual funds – working of public and private mutual funds in India – debt securitisation – de-mat services – need and operations –role of NSDL & CSDL.

UNIT – V: Legal and Institutional Arrangements

Regulatory & legal framework of government in banking-- role of RBI –functions of stock exchange - listing & formalities in stock exchange – laws governing SEBI -- role of SEBI – laws governing non banking financial corporations – laws pertaining anti- money laundering.

Books for Study :

- 1) G.S.Batra – Financial Services & Market.
- 2) Meir Khan – Financial Institutions and Markets, Oxford Press.
- 3) I M.Bhole , Financial Institutions and Market, TATA McGrawHill
- 4) V.A.Avadhani ,Marketing of Financial Services, Himalayas Publishers, Mumbai
- 5) Vasant Desai, Indian Financial Systems, Himalaya Publishers

Books for Reference :

- 6) Benton E.G.,Financial Intermediaries An Introduction
- 7) Edminister R.D.Financial Institution ,Market and Management.
- 8) Verma, J.C.A Manual Of Merchant Banking
- 9) M.West Lake ,FactoringN.Vinayakan ,
- 10) A profile of Indian Capital Market

Suggested Books:

1. I. M. Bhole, Financial Institutions and Markets, TATA McGraw Hill
2. V. A. Avadhani, Marketing of Financial Services, Himalaya Publishers, Mumbai
3. Vasant Desai, Indian Financial Systems, Himalaya Publishers.
4. E. G. Benton, Financial Intermediaries An Introduction
5. R. D. Edminister, Financial Institution – Market and Management
6. J. C. A. Verma, Manual of Merchant Banking
7. M. West Lake, Factoring
8. N. Vinayakan, A Profile of Indian Capital Market
9. Meir Khan, Financial Institutions and Markets, Oxford Press
