**Dr. KUMUDHA RATHNA**

**POST GRADUATE DEPARTMENT – BUSINESS LAW**

**SYLLABUS of SUBJECT:REGULATORY LAWS (Optional IV)**

## Unit I

**Introduction**

Theories of regulation – Public interest theories – Private interest theories – Institutionalised theories – Regulation beyond the State.

## Unit II

**SEBI Act, 1992:**

Establishment of Securities & Exchange Board – Powers & functions of the Board – Prohibition of manipulative & deceptive devices, Insider trading & substantial acquisition of securities or control - SEBI (Disclosure and Investor Protection) Guidelines, 2000 as amended in 2008 - Finance, Accounts & Audit –- Indian Financial Code', drafted by the Justice Srikrishna Commission on financial sector legislative reforms–Adjudication & penalties – Establishment, jurisdiction, authority & procedure of Appellate Tribunal.

**The Real Estate (Regulation And Development) Bill, 2013:**

Salient features – Establishment of Real Estate Regulatory Authority – Powers & functions of the Authority – Registration – Mandatory disclosures – Dispute resolution mechanism – PenaltiesCentral Advisory Council.

## Unit III

**Right to Information Act, 2005:**

Introduction –Right to information & obligations of public authorities – The Central Information Commission – The State Information Commission – Powers & functions of the Information Commissions – Appeal & penalties –

## Unit IV

**The Telecom Regulatory Authority of India Act, 1997:**

TRAI Act, 1997 – Establishment and incorporation of TRAI – Powers and function of authority – Settlement of dispute – Amendment in 2000.

## Unit V

**The Electricity Act, 2003:**

Electricity Act 2003 – Licensing – National electricity policy and plan – Central electricity authority – Regulatory commissions – Powers – State Commissions – Tamil Nadu Electricity (Reorganisation and reforms) Transfer Scheme 2010.

**Dr. KUMUDHA RATHNA**

**Pension Fund Regulatory and Development Authority Act, 2013:**

PFRDA – Powers and functions.

## READING MATERIAL:

### A. Statutory Material:

1. Securities & Exchange Board of India Act, 1992
2. Right to Information Act, 2005.
3. Telecom Regulatory Authority of India, 1997
4. The Electricity Act, 2003.
5. Pension Fund Regulatory & Development Authority Act, 2013.
6. The Real Estate (Regulation And Development) Bill, 2013.

### B. Reference Material:


1. An Introduction to Law & Regulation - by Bronwen Morgan, Karen Yeung [Cambridge University Press - 2007].
2. The Right to Information: A Global Perspective - by K.M. Shrivastava.
3. Leading Cases on Right to Information - by DivyaJyotiJaipuriar, JayshreeSatpute [Socio Legal Information Cent, 2009].
4. Manual of Right to Information Act - by Raj Pruthi [Pentagon Press, 2006].
5. Business Ethics: An Indian Perspective - by A.C. Fernando [Pearson Education India].
6. SEBI & Securities Market in India - by Sarkriya D.
7. Manual of SEBI, Notifications & Legislations - by Foreign Service Institute, New Delhi].
8. The Telecom Revolution in India: Technology, Regulation & Policy - by Indian Institute of Management, Banglore (IIMB).
9. Consumers' Handbook on Telecommunications - by TRAI, MahanagarDoorsancharBhawan, Jawaharlal Nehru Marg, New Delhi.
10. Guide To The Electricity Laws - by NaushirBharucha [ISBN: 9788180382123, 2004].
11. Handbook of Electricity Laws - by P.L. Malik [Eastman Book Company].
12. A Critical Commentary on the Electricity Act, 2003 - by VivekSadashicDhamankar&SandeepSheshmal Jain [ISBN: 8181592816].
13. Law of Electricity in India - by Sarkar&Bhatnagar.
14. Law relating to Electricity in India - by AiyerKrishnamurty.
15. Commentary on Electricity Laws, with State Reforms - by S.K. Chatterjee.
16. A Guide to The Electricity Laws - by Justice Rajesh Tandon.